Initial Case Quality Control Form

Cervical (CESC)

<u>Instructions:</u> This form should be completed for all cases submitted for TCGA, prior to the shipment of samples to the BCR.

Questions regarding this form should be directed to the Tissue Source Site's primary Clinical Outreach Contact at the BCR.

Tissue Source Site (TSS) acknowledges that the Biospecimen Core Resource (BCR) may confirm that the diagnosis of the frozen biospecimen is consistent with the primary diagnosis reported by the TSS through histopathology examination in the BCR laboratory. If the BCR identifies a possible discrepancy, the TSS authorizes the BCR to report these patient results to the TSS by means of a formal report in confidential email format for the quality assurance program of the TSS to address.

Tissue Source Site (TSS):	TSS ID:	TSS Unique Patient ID:	Interviewer Name:	Interview Date	_/	/
Has this TSS received permission fr	om the NCI to pr	ovide time intervals as a substitu	ute for requested dates on this f	form? □ Yes □ No		
Note: Provided time intervals must be	egin with the date	of initial pathologic diagnosis.				
Tumor Information: The following sections are to be provided by a Pathologist						

#	Question	Entry Alternatives	Working Instructions
1*	Has this TSS received permission from the NCI to provide time intervals as a substitute for requested dates on this form?	□ Yes □ No	Indicate whether the TSS has permission to provide time intervals in lieu of dates. Note: Only provide interval data if you have received permission from the NCI to provide time intervals as a substitute for requested dates on this form.
2*	Diagnosis	☐ Cervical Squamous Cell Carcinoma ☐ Endocervical type of Adenocarcinoma ☐ Endocervical Adenocarcinoma of the Usual Type ☐ Mucin-depleted Adenocarcinoma ☐ Endometrioid Adenocarcinoma of Endocervix ☐ Mucinous Adenocarcinoma of Endocervical Type ☐ Adenosquamous Carcinoma	Indicate the confirmed diagnosis of the tumor submitted for TCGA. 3081934
3*	Tumor Type	☐ Primary (primary untreated malignant biospecimen)	Indicate the type of tumor submitted for TCGA. 3288124 This is a biospecimen that has not been treated with chemotherapy (including intravesical treatment) or radiation prior to resection.
4*	Anatomic Organ Sub- Division of Frozen Biospecimen	□ Cervix	Indicate the anatomic site of the frozen tumor biospecimen submitted for TCGA. 2008006
Date	of Cancer Sample Procure	ment	
5*	Date of Cancer Sample Procurement	Month Day Year	Provide the date of the procedure performed to obtain the malignant tissue submitted for TCGA. 3008197 (Month), 3008195 (Day), 3008199 (Year)
6	Number of Days from Date of Initial Pathological Diagnosis to Date of Cancer Sample Procurement	days	Provide the number of days from the date the patient was initially diagnosed pathologically with the disease to the date of the procedure that produced the malignant sample submitted 3288495
7*	Method of Cancer Sample Procurement	☐ Tumor Resection ☐ Other Method (please specify)	Indicate the procedure performed to obtain the malignant tissue submitted for TCGA. 3103514
8†	Other Method of Cancer Sample Procurement		If the procedure performed to obtain the malignant tissue is not included in the provided list, specify the procedure. 2006730

#	Question	Entry Alternatives	Working Instructions
9*	Country Where Cancer Sample was Procured		Provide the country where the tissue submitted for TCGA was procured. 3203072
10*	Race	 □ American Indian or Alaska Native A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment. □ Asian A person having origins in any of the original peoples of the far East, Southeast Asia, or in the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. □ White A person having origins in any of the original peoples of the far Europe, the Middle East, or North Africa. □ Black or African American A person having origins in any of any of the black racial groups of Africa. Terms such as "Haitian" or "Negro" can be used in addition to "Black or African American." □ Native Hawaiian or other Pacific Islander:	Provide the patient's race using the defined categories. 2192199
11	Ethnicity	 Not Hispanic or Latino A person not meeting the definition of Hispanic or Latino. Hispanic or Latino A person of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race. Not Evaluated Not provided or available. Unknown	Provide the patient's ethnicity using the defined categories. 2192217
12*	Vessel Used	☐ Cryovial ☐ Cassette ☐ Other, specify ☐ Biospecimen Storage Bag ☐ Cryomold	Indicate the type of vessel used to ship the tissue to the Biospecimen Core Resource (BCR) for TCGA. 3081940
13 [†]	Other Vessel Used		If the vessel used to ship the tissue to the BCR is not included in the provided list, specify the vessel used. 3288137
14*	Is tumor sample being submitted for macrodissection?	□ Yes □ No	Indicate whether the tumor sample submitted to the BCR is intended to undergo macrodissection after the BCR receives the sample. 3288488
15*	Was sample prescreened at site?	☐ Yes ☐ No	Indicate whether the sample submitted to the BCR was prescreened at the TSS. 3081942
16*	Will top slide be submitted to the BCR?	□ Yes □ No	Indicate whether a physical top slide for the sample submitted to the BCR will be shipped with the tissue sample. 3081944 Top Slide Definition: Slide cut directly from frozen biospecimen = mirror image of inked surface
17*	Will digital top slide image be sent to the BCR?	□ Yes □ No	Indicate whether a digital slide image for the sample submitted to the BCR will be shipped with the tissue sample. 3081948 Physical top-slides are preferred.

Page 3 Initial Case Quality Control Form Cervical (CESC) V4.09 061413

#	Question	Entry Alternatives	Working Instructions
18	Will FFPE slide or image be submitted to the BCR?	□ Slide □ Image	Indicate whether a physical slide or digital slide image of the formalin-fixed paraffin-embedded (FFPE) diagnostic block will be shipped with the tissue sample to the BCR. 3295811 If the FFPE slide(s) or image(s) are sent in a shipment subsequent to the initial submission of tumor and normal samples, these questions can be skipped.
19	FFPE Slide/Digital Image ID#		Provide the slide ID for the physical FFPE slide OR the FFPE digital slide image being sent to the BCR. 3295810
Tume	or Information If the TSS is s	submitting multiple pieces of the same primary tumor for this case; complete the followi	ng information for each piece of tumor sent to the BCR.
20*	Tumor Identifier		Provide the TSS unique tumor ID. If multiple pieces of tumor are submitted, each tumor needs a unique ID. 3288096
21*	Weight of Frozen Tumor	(mg)	Provide the weight of the tumor sample submitted for TCGA. 3081946
22*	Tumor Nuclei %	(%)	Provide the percent of tumor nuclei for the sample submitted for TCGA. 2841225 Check with the BCR to confirm the current acceptable TCGA metrics.
23*	Necrosis %	(%)	Provide the percent of necrosis for the sample submitted for TCGA. 2841237 Check with the BCR to confirm the current acceptable TCGA metrics.
24*	Slide/Digital Image ID #		Provide the slide ID for the physical top slide OR the digital slide image being sent to the BCR. 2321277
Norm	al Information A normal co	ntrol must be present to qualify.	
25*	Type(s) of Normal Control Check all that apply	□ Whole Blood □ Extracted DNA from Blood □ Buffy Coat □ Extracted DNA from Saliva (buccal cells) □ Lymphocytes □ Non-Neoplastic Control Tissue*	Indicate the type of normal control submitted for this case. 3081936 *Non-neoplastic Control Tissue may only be submitted with NCI approval.
Norr	nal Control: Whole Blood		
26†	Method of Normal Sample Procurement	□ Blood Draw	Indicate the procedure performed to obtain the normal control sample submitted for TCGA. 3288147
27†	Date of Normal Sample Procurement	Month Day Year	Indicate the date of the procedure performed to obtain the normal control sample submitted for TCGA. 3288195 (Month), 3288196 (Day), 3288197 (Year)
29	Number of Days from Date of Initial Pathological Diagnosis to Date of Normal Sample Procurement	days	Provide the number of days from the date the patient was initially diagnosed pathologically with the disease to the date of the procedure that produced the normal control sample submitted 3288496
30†	Normal Identifier		Provide the TSS unique normal ID. If multiple normal control samples are submitted, each normal control needs a unique ID.

V4.09 061413

#	Question	Entry Alternatives		Working Instructions				
Norn	Normal Control: Buffy Coat/ Lymphocytes							
31†	Normal Control Type	☐ Buffy Coat☐ Lymphocytes		Indicate the type of normal control submitted for TCGA. 3081936				
32†	Method of Normal Sample Procurement	☐ Blood Draw		Indicate the procedure performed to obtain the normal control sample submitted for TCGA. 3288147				
33†	Date of Normal Sample Procurement	 Month		Indicate the date of the procedure performed to obtain the normal control sample submitted for TCGA. 3288195 (Month), 3288196 (Day), 3288197 (Year)				
35	Number of Days from Date of Initial Pathological Diagnosis to Date of Normal Sample Procurement		days	Provide the number of days from the date the patient was initially diagnosed pathologically with the disease to the date of the procedure that produced the normal control sample submitted 3288496				
36†	Normal Identifier			Provide the TSS unique normal ID. If multiple normal control samples are submitted, each normal control needs a unique ID. 3288138				
Norn	nal Control: Extracted DNA	from Blood or Saliva						
37 [†]	Method of Normal Sample Procurement	☐ Blood Draw ☐ Buccal Swab ☐ Mouthwash		Indicate the procedure performed to obtain the normal control sample submitted for TCGA. 3288147				
38†	Date of Normal Sample Procurement	 Month	Day Year	Indicate the date of the procedure performed to obtain the normal control sample submitted for TCGA. 3288195 (Month), 3288196 (Day), 3288197 (Year)				
39	Number of Days from Date of Initial Pathological Diagnosis to Date of Normal Sample Procurement		days	Provide the number of days from the date the patient was initially diagnosed pathologically with the disease to the date of the procedure that produced the normal control sample submitted 3288496				
40†	Normal Identifier			Provide the TSS unique normal ID. If multiple normal control samples are submitted, each normal control needs a unique ID. 3288138				
41†	Extracted DNA Quantity		(μg)	Provide the quantity (µg) of the normal control sample sent to the BCR for TCGA. $\underline{3288185}$				
42†	Extracted DNA Quantification Method			Provide the quantification method of the normal control sample sent to the BCR for TCGA. 3288186				
43†	Extracted DNA Concentration		(μg/μL)	Provide the concentration ($\mu g/\mu L$) of the normal control sample sent to the BCR for TCGA. 3288187				
44†	Extracted DNA Volume		(μL)	Provide the volume (μL) of the normal control sample sent to the BCR for TCGA. 3288188				

#	Question	Entry Alternatives	Working Instructions			
Norr	Normal Control: Non-Neoplastic Control Tissue					
45†	Method of Normal Sample Procurement	□ Surgical Resection □ Other Method (please specify)	Indicate the procedure performed to obtain the normal control sample submitted for TCGA. 3288147			
46	Other Method of Normal Sample Procurement		If the procedure performed to obtain the normal sample is not included in the provided list, specify the procedure. 3288151			
47†	Date of Normal Sample Procurement	Month Day Year	Indicate the date of the procedure performed to obtain the normal control sample submitted for TCGA. 3288195 (Month), 3288196 (Day), 3288197 (Year)			
48	Number of Days from Date of Initial Pathological Diagnosis to Date of Normal Sample Procurement	days	Provide the number of days from the date the patient was initially diagnosed pathologically with the disease to the date of the procedure that produced the normal control sample submitted 3288496			
49†	Normal Identifier		Provide the TSS unique normal ID. If multiple normal control samples are submitted, each normal control needs a unique ID. 3288138			
50†	Anatomic Site of Non- Neoplastic Control Tissue	☐ Uterus ☐ Endometrium ☐ Myometrium ☐ Fallopian tube(s)	If the normal control type is normal tissue, indicate the anatomic site of the non-neoplastic control tissue submitted for TCGA. 4132152 Site matched is preferred.			
51†	Proximity of Normal Tissue to Tumor	☐ Distal (> 2cm) from the primary tumor	If the normal control type is normal tissue, confirm that the submitted normal tissue was at least 2cm away from the primary tumor. 3088708 Adjacent (< 2cm) Normal Tissue is not accepted for this tissue type. Unknown Normal Tissue is not acceptable for this tissue type.			
52†	Normal Slide ID#		If the normal control type is normal tissue, provide the slide ID for the physical top slide OR the digital slide image of the normal control being sent to the BCR. 3288217			
Verification: By providing the below information, the Principal Investigator acknowledges that the information provided by the institution is true and correct and has been quality controlled.						
Tissu repo	Pathology Review Tissue Source Site (TSS) acknowledges that the Biospecimen Core Resource (BCR) may confirm that the diagnosis of the frozen biospecimen is consistent with the primary diagnosis reported by the TSS through histopathology examination in the BCR laboratory. If the BCR identifies a possible discrepancy, the TSS authorizes the BCR to report these patient results to the TSS by means of a formal report in confidential email format for the quality assurance program of the TSS to address.					
53*	Name of Pathologist		Provide the name of the Pathologist that provided the information for all previous sections. 3288225			

V4.09 061413

applicable TCGA disease-specific requirements.

Question Entry Alternatives Working Instructions Provide the date of the pathology review performed by the TSS pathologist above. Date of Pathologist 3288224 Review Provide the number of days from the date the patient was Number of Days from Date initially diagnosed pathologically with the disease to the date of of Initial Pathological the pathological review performed as part of the submission 55 days Diagnosis to Date of process Pathological Review 3288497 **Principal Investigator/Authorized Designee Confirmation** Confirm that the malignant sample submitted to the BCR meets the current tumor nuclei metrics for TCGA. Percent Tumor Nuclei ☐ Yes 3288520 56* meets TCGA metrics? □ No Check with the BCR to confirm the current acceptable TCGA metrics. Confirm that the malignant sample submitted to the BCR meets the current necrosis metrics for TCGA. Percent Necrosis meets ☐ Yes 3288524 57* TCGA metrics? ■ No Check with the BCR to confirm the current acceptable TCGA metrics. Confirm that a de-identified pathology report will be sent to BCR prior to or with the shipment of the physical samples. ☐ Yes De-Identified Pathology 58* 3288292 **Report Submitted?** □ No Confirm that the diagnosis provided on this CQCF for the tumor sample being submitted to TCGA is consistent with the diagnosis found on the patient's pathology report for the tumor being sent to the BCR. 3288300 Is the histologic diagnosis If "yes," skip related question below. on the CQCF (as The diagnosis is considered to be consistent if at least one of the determined by the TSS following criteria are met: 1) Diagnosis on the CQCF is identical to the pathology pathology review of the ☐ Yes report for the tumor being sent to the BCR. TCGA frozen section top □ No Diagnosis on the CQCF includes as least one of the slide) consistent with the subtypes listed on the pathology report and all subtypes histology listed in the on the pathology report are acceptable for TCGA. Diagnosis on the CQCF is "histology, NOS" (i.e., final diagnosis on the Adenocarcinoma, NOS) and the pathology report lists a pathology report? specific subtype within the same histologic group Diagnosis on the CQCF indicates "Mixed Subtype" and the pathology report lists two or more acceptable subtypes, provided that percent subtype(s) meet

#	Question	Entry Alternatives	Working Instructions				
60†	If the diagnosis on this form is not consistent with the provided pathology report, indicate the reason for the inconsistency.	 □ Macrodissection performed at TSS to select for a region containing an acceptable TCGA diagnosis (see note at right) □ Pathology analysis at TSS determined a specific histological subtype different from original pathology report (see note at right) □ Pathology review of frozen section for TCGA determined histological subtype different from the pathology report (see note at right) 	If the diagnosis provided on this form is not consistent with the diagnosis found on the pathology report provided, specify a reason for this inconsistency. 3288315 If a TSS pathology review of the TCGA committed sample resulted in a different histological subtype than what is documented on the original pathology report, an amendment to the pathology report should be submitted when the sample is shipped to the BCR; or in the absence of an amended pathology report, the TSS must complete and submit an electronic copy of the "TCGA Pathologic Diagnosis Discrepancy Form". In the case of diagnosis modifications, institution protocol should be followed for proper quality assurance.				
61*	History of Other Malignancy	□ None □ History of Prior Malignancy □ History of Synchronous/ Bilateral Malignancy	Indicate whether the patient has a history of malignancies. If the patient has any history, including synchronous or bilateral malignancies, please complete an "Other Malignancy Form" for each malignancy diagnosed prior to the procurement of the tissue submitted for TCGA. 3382736 If the patient has a history of multiple diagnoses of basal or squamous cell skin cancer, complete an OMF for the first diagnosis for each of these types.				
62*	History of Neoadjuvant Treatment <i>for Tumor</i> <i>Submitted for TCGA</i>	 □ None □ Radiation prior to sample procurement* □ Pharmaceutical treatment prior to sample procurement* □ Both pharmaceutical treatment and radiation prior to sample procurement* 	Indicate whether the patient received therapy for this cancer prior to the sample procurement of <i>the tumor submitted for TCGA</i> . If the patient did receive treatment for this cancer prior to procurement, the TSS should contact the BCR for further instruction. 3382737 *Systemic therapy and certain localized therapies (those administered to the same site as the TCGA submitted tissue) given prior to the procurement of the sample submitted for TCGA are				
63*	Consent Status	☐ Consented ☐ Exemption 4 ☐ Deceased ☐ Waiver	exclusionary. Indicate whether the patient was formally consented, consented by death, or if the case has an exemption or waiver for consent. 3288361 *Exemptions and waivers for consent must be approved by NCI.				
Date	of Consent		Tool of a control of the control of				
64†	Date of Consent	Month Day Year	If the patient was formally consented, provide the date of consent. 3081955 (Month), 3081957 (Day), 3081959 (Year)				
65	Number of Days from Date of Initial Pathological Diagnosis to Date of Consent	days	If the patient formally consented, provide the number of days from the date the patient was initially diagnosed pathologically with the disease to the date of the patient's formal consent. 3288498				
Date	Date of Death Do not complete date of death, if patient formally consented.						
66†	Date of Death	Month Day Year	If the patient consented by death, provide the date of death. 2897026 (Month), 2897028 (Day), 2897030 (Year)				

Ι.			
	Page 8	Initial Case Quality Control Form	V4.09 061413
		Cervical (CESC)	

#	Question	Entry Alte	rnatives	Working Instructions
67	Number of Days from Date of Initial Pathological Diagnosis to Date of Death		days	If the patient consented by death, provide the number of days from the date the patient was initially diagnosed pathologically with the disease to the date of the patient's death. Note: If the patient formally consented prior to death, do not answer this question only answer the question above that asks for the number of days between the date of diagnosis and the date of the patient consent. 3288499
	Principal Inves	tigator or Designee Signature	Print Name	//

I acknowledge that the above information provided by my institution is true and correct and has been quality controlled.

V4.09 061413

Initial Case Quality Control Form

Cervical (CESC)

Time Intervals: The following questions are only to be answered if the Tissue Source Site is unable to provide the dates requested on this form.

#	Question	Entry Alternatives	Working Instructions
ii	Number of Days from Date of Diagnosis to Date of Cancer Sample Procurement	days	Provide the number of days from the date the patient was diagnosed with the disease described on this form to the date of the procedure that produced the malignant sample submitted for TCGA. 3288495
iii	Number of Days from Date of Diagnosis to Normal Sample Procurement	days	Provide the number of days from the date the patient was diagnosed with the disease described on this form to the date of the procedure that produced the normal control sample submitted for TCGA. 3288496
iv	Number of Days from Date of Diagnosis to Date of Pathological Review	days	Provide the number of days from the date the patient was diagnosed with the disease described on this form to the date of the pathological review performed as part of the submission process for TCGA. 3288497
v	Number of Days from Date of Diagnosis to Date of Consent	days	If the patient formally consented, provide the number of days from the date the patient was diagnosed with the disease described on this form to the date of the patient's formal consent. 3288498
vi	Number of Days from Date of Diagnosis to Date of Death	days	If the patient consented by death, provide the number of days from the date the patient was diagnosed with the disease described on this form to the date of the patient's death. 3288499 Do not complete days to death, if patient formally consented.